

The role of land surface schemes in the regional climate model (RegCM) for seasonal scale simulations over Western Himalaya

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RESUMEN

La predicción del clima en el Himalaya occidental es una tarea compleja debido a la gran variabilidad de las barreras orográficas en cuanto a altitud y orientación. Las características de la superficie también desempeñan un papel importante en las simulaciones climáticas, y requieren una representación adecuada en los modelos. En este estudio se utilizaron dos esquemas de parametrización de la superficie terrestre (LSPS, por sus siglas en inglés) para analizar la precipitación estacional en la región del Himalaya: el esquema de transferencia biosfera-atmósfera (BATS, por sus siglas en inglés) y el modelo común de la tierra (CLM por sus siglas en inglés), v. 3.5, acoplados con el modelo regional del clima RegCM, v. 4. El análisis abarca nueve estaciones invernales diferentes (tres con precipitación excesiva, tres con precipitación normal y tres con déficit de precipitación). Los datos del reanálisis II de los Centros Nacionales de Predicción Ambiental (National Centers for Environmental Prediction, NCEP) del departamento de energía estadounidense se utilizaron como condiciones iniciales y límites para el Modelo RegCM. Para aportar condiciones superficiales límites al modelo RegCM se utilizaron parámetros geofísicos similares (resolución de 10 min) a los del Mapa Geofísico de Estados Unidos. Se evalúa el desempeño de dos LSPS (CLM y BATS) acoplados con el RegCM en comparación con datos de temperatura superficial y de una malla de precipitación de la Oficina de Meteorología de la India. Se encontró que los datos simulados de precipitación y temperatura superficial están mejor representados en el CLM que en el BATS cuando se comparan con las observaciones. Más aún, se calculan varios parámetros estadísticos como el sesgo, el error cuadrático medio, el coeficiente de correlación espacial y niveles de aptitud (como el nivel equitativo de aptitud y la probabilidad de detección, por sus siglas en inglés) del RegCM utilizando ambos LSPS. Los resultados indican que el error cuadrático medio disminuye y el coeficiente de correlación espacial se incrementa con el uso del CLM en comparación con el BATS. El nivel equitativo de aptitud y la probabilidad de detección también indican que el desempeño del modelo para simular la escala de la precipitación estacional es mejor con el CLM que con el BTAS. En general, estos resultados sugieren que el desempeño del RegCM acoplado con el CLM mejora la aptitud del modelo para predecir la precipitación invernal (15 a 25%) y la temperatura (10 a 20%) en el Himalaya occidental.

ABSTRACT

Climate prediction over the Western Himalaya is a challenging task due to the highly variable altitude and orientation of orographic barriers. Surface characteristics also play a vital role in climate simulations and need appropriate representation in the models. In this study, two land surface parameterization schemes (LSPS), the Biosphere-Atmosphere Transfer Scheme (BATS) and the Common Land Model (CLM, version 3.5) in the regional climate model (RegCM, version 4) have been tested over the Himalayan region for nine distinct winter seasons in respect of seasonal precipitation (three years each for excess, normal and deficit). Reanalysis II data of the National Centers for Environmental Prediction (NCEP)/Department of Energy (DOE) have been used as initial and lateral boundary conditions for the RegCM model. In order to provide land surface boundary conditions in the RegCM model, geophysical parameters (10 min resolution) obtained from United States of Geophysical Survey were used. The performance of two LSPS (CLM and BATS) coupled with the RegCM is evaluated against gridded precipitation and surface temperature data sets from the India Meteorological Department (IMD). It is found that the simulated surface temperature and precipitation are better represented in the CLM scheme than in the BATS when compared with observations. Further, several statistical analysis such as bias, root mean square error (RMSE), spatial correlation coefficient (CC) and skill scores like the equitable threat score (ETS) and the probability of detection (POD) are estimated for evaluating RegCM simulations using both LSPS. Results indicate that the RMSE decreases and the CC increases with the use of the CLM compared to BATS. ETS and POD also indicate that the performance of the model is better with the CLM than with the BATS in simulating seasonal scale precipitation. Overall, results suggest that the performance of the RegCM coupled with the CLM scheme improves the model skill in predicting winter precipitation (by 15-25%) and temperature (by 10-20%) over the Western Himalaya.

Keywords: Western Himalaya, land surface schemes, regional climate model.

1. Introduction

The Western Himalayan region receives a substantial amount of precipitation in the form of snow during winter months (December, January and February [DJF]). Precipitation over this region shows a large inter-annual variability and is vital for several sectors such as agriculture/horticulture, transportation, tourism, hydropower projects and water resources and management. Excess precipitation over this region causes landslides/avalanches and impacts livelihoods and infrastructure. Due to the complex orography, nonlinear interactions of land-atmosphere processes and insufficient observed datasets, seasonal-scale prediction of precipitation over such a heterogeneous region is one of the challenging tasks for meteorologists. Since the heterogeneity of the mountain region plays a dominant role in modulating the regional climate (Pielke *et al.*, 1990; Dickinson, 1995), an advanced land surface parameterization scheme (LSPS) in a model may be able to improve the prediction skill over the mountain region.

Henderson-Sellers and Dickinson (1993) found in their study that more than 30% of the lower boundary conditions for the earth surface are provided through land-atmosphere interface in global climate models and in the case of regional climate modeling systems, this percentage can be even higher. Since the

exchange of momentum and energy between land surface and the atmosphere affects prognostic variables such as surface temperature, precipitation, etc., a better representation of surface boundary conditions in a model is very important. Ding *et al.* (1998) examined the role of different land surface processes and found that the efficiency of a regional climate model (RCM) in the simulation of precipitation is increased when an improved land-surface parameterization scheme is used. A few studies have been carried out on the impact of different land LSPS in the simulation of upper air circulation associated with precipitation (Pielke *et al.*, 2003; Singh *et al.*, 2007; Dutta *et al.*, 2009; Kar *et al.*, 2014; Tiwari *et al.*, 2014) over the Indian region. It was found that LSPS plays a crucial role in seasonal scale simulation over the Indian region. However, most of these studies have been conducted for the Indian summer monsoon season and so far there are no such studies for the winter season (DJF) examining the role of different LSPS in a RCM over the Western Himalayan region.

The main objective of the present study is to evaluate the performance of two LSPS, the Biosphere-Atmosphere Transfer Scheme (BATS) (Dickinson *et al.*, 1993) and the Common Land Model (CLM), v. 3.5 (Oleson *et al.*, 2008), in the Regional Climate Model (RegCM) v. 4 (Pal *et al.*, 2007) to simulate

Table I. Configuration of the RegCM4 used in the present study.

Dynamics	Hydrostatic
Main prognostic variables	u, v, t, q and p
Model domain	18-45° N, 60-95° E; res. = 30 km
Map projection	Lambert conformal mapping
Vertical coordinate	Terrain-following sigma coordinate Total: 18 sigma levels (five levels in PBL)
Cumulus parameterization	Grell with Fritch & Chappell closure
Land surface models	Biosphere-atmosphere transfer scheme (BATS) and Community Land Model (CLM)
Radiation parameterization	NCAR/CCM3 radiation scheme
PBL parameterization	Holtslag

Table II. A brief comparison between two land surface parameterization schemes (i.e., BATS and CLM).

Category	BATS	CLM
Land cover/vegetation classes	20 vegetation types	24 vegetation types
Surface representation	One vegetation layer, a surface soil layer, a snow layer	One vegetation layer with a canopy photosynthesis-conductance model, 10 unevenly spaced soil layers, five snow layers with an additional representation of trace snow
Soil temperatures calculation	Uses a two-layer force-restore model	Soil temperature is calculated explicitly by a 10-layer soil model
Treatment of vegetation canopy	Treats all vegetation within the canopy in the same manner	The canopy is divided into sunlit and shaded fractions as a function of LAI
Calculation of stomatal conductance and photosynthesis rate	No individual calculation is made for sunlit and shaded fractions. It does not compute photosynthetic rates	Stomatal conductance is calculated for sunlit and shaded fractions. Calculation of photosynthetic rates is done in this scheme
Treatment of heat and roughness length	Heat and water vapor roughness lengths are constant	Updates these values over bare soil and snow with values from the stability functions
Albedo treatment	Uses prescribed values for vegetation albedo for both short- and longwave components	Uses a modified two stream approach that reduces the complexity of a full two-stream albedo treatment

precipitation seasons are considered on the basis of precipitation anomaly departures by one standard deviation or more from its mean. Therefore, within these 33 years, there are three years in the category of excess precipitation (1990-1991, 1994-1995, 1997-1998, hereafter referred to as excess years); three years in the category of deficit precipitation

(1996-1997, 2000-1901, 2004-1905, hereafter referred to as deficit years), and three years in the category of normal precipitation (1988-1989, 1993-1994, 2003-2004, hereafter referred to as normal years). In the present study, these years are considered to conduct the numerical experiments. Composite analyses have been carried out by computing

the difference between excess minus normal and deficit minus normal precipitation years.

The RegCM model has been integrated from November 1 to February 28 (February 29 during the leap year) for each winter season. In this study, model integration output for the first month (i.e., November) is not analyzed as it is considered as the model spin up time. For each year (excess, deficit and normal years), the RegCM model is integrated twice with two different LSPS; first, coupled with BATS and then coupled with CLM, keeping unchanged all the others parameters of the model. Initial and lateral boundary conditions (LBCs) for the model integration are provided from the National Centers for Environmental Prediction-Department of Energy (NCEP-DOE) re-analysis II to drive the RegCM model, and the LBCs are updated every 6 h. The prescribed sea surface temperature in the model is the National Oceanic and Atmospheric Administration Optimum Interpolation SST (NOAA-OI-SST-v. 2) at a $1 \times 1^\circ$ resolution). The geophysical parameters are from the United States Geophysical Survey (USGS) at a $10'$ resolution). The model-simulated results are validated with the IMD gridded ($1 \times 1^\circ$) observed precipitation and surface air temperature (hereafter referred to as temperature) data sets. For comparison of the model data with observations, model simulated results are interpolated bilinearly to the grid points of the observed data.

Statistical analysis such as spatial the correlation coefficient (CC), root mean square error (RMSE), probability of detection (POD), equitable threat score (ETS), etc., have been carried out between model and IMD data sets. POD indicates what fraction of the observed “yes” events was correctly forecasted. It is defined as,

$$POD = \frac{H}{H + M} \quad (1)$$

where H and M are hits and misses for each category, respectively. POD ranges from 0 to 1 with $POD = 1$ indicating perfect skill in prediction (i.e., $M = 0$).

ETS is a skill metric generally used for yes/no forecasting (Gilbert, 1884; Wilks, 1995); it is defined as:

$$ETS = \frac{H - H_\lambda}{(H + M + F - H_\lambda)}, \text{ where} \quad (2)$$

$$H_\lambda = \frac{(H + M)(M + F)}{T}$$

where M , H and F are the number of misses, hits and false alarms, respectively, for each category. Hits due to random chance are denoted by H_λ and T is the total number of events. ETS varies from -0.33 to 1 with $ETS = 0$ indicating no skill and $ETS = 1$ indicating perfect skill in prediction. Student's t -test is used for statistical significance of the anomaly CC, where the critical value of CC is 0.27 at a 90% confidence level (CL).

4. Results and discussion

The composite analyses of observed gridded temperature and precipitation during the winter season for excess, deficit and normal precipitation years are presented in Figure 2. It is clearly seen from the figure that temperature is comparatively cooler during the excess years as compared to normal and deficit years over Jammu and Kashmir. It is also seen that the seasonal mean temperature is warmer by $1-2^\circ\text{C}$ during deficit years than in excess years over the Western Himalayan region. The range of seasonal mean precipitation during excess years is about 4.5 to 6.5 mm day^{-1} with a maximum of 6.5 mm day^{-1} over Jammu and Kashmir, whereas during deficit years the seasonal precipitation range is about 1.5 to 2.5 mm day^{-1} , with a maximum of 2.5 mm day^{-1} over the same region. Therefore, it is noticed that excess precipitation years are comparatively cooler than deficit precipitation years over the Indian part of the Western Himalayan region. In the following three sub-sections, the results obtained from the simulation of RegCM model with two different LSPS are analyzed.

4.1 Spatial distribution of surface air temperature

The simulated seasonal average (DJF) temperature from experiments with BATS and CLM within the RegCM for nine distinct precipitation years (three excess, three deficit and three normal years) was examined. It was noticed that the model is able to reproduce the mean temperature distribution over the northwest India for the composite excess, composite deficit and composite normal years reasonably well when either of the land surface schemes (BATS or CLM) are used (figure not shown). However, the simulated temperature in terms of distribution and magnitude is better in the CLM experiment than in the BATS when results are compared against the observed surface temperature data sets.

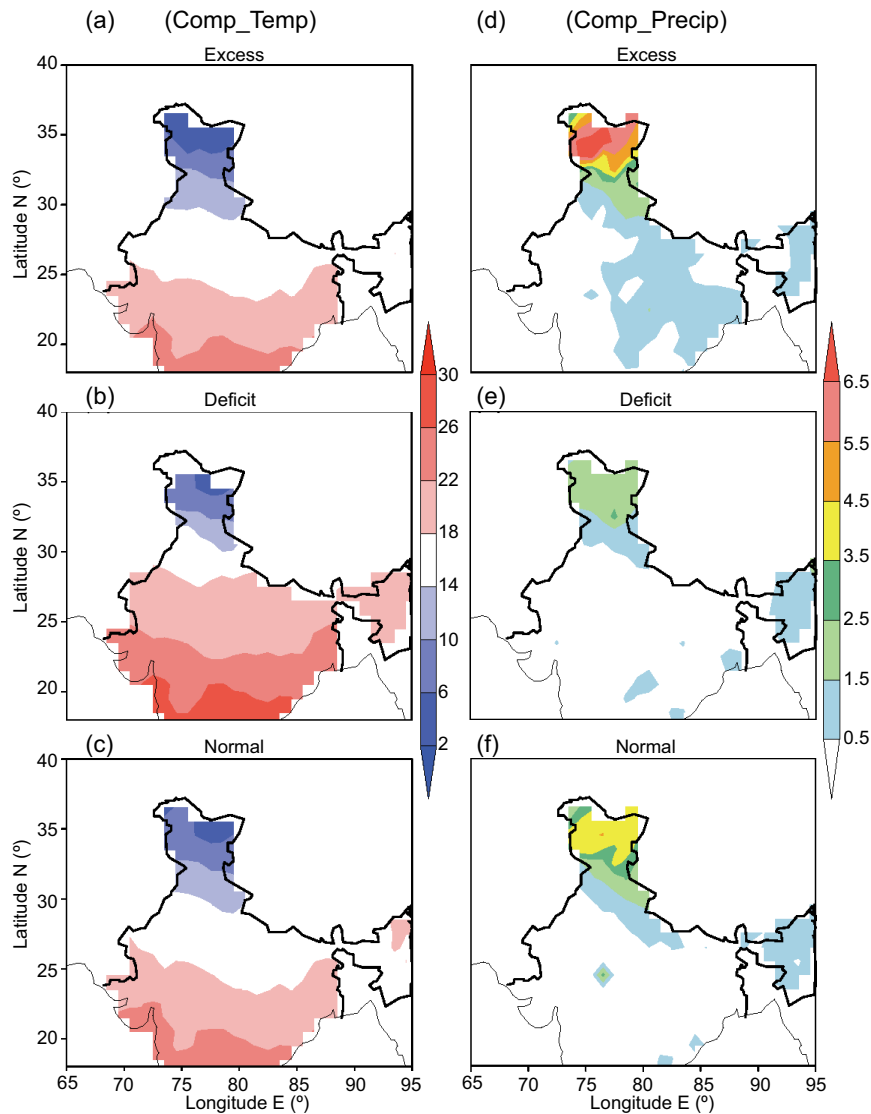


Fig. 2. Seasonal (DJF) average of IMD gridded temperature (in $^{\circ}\text{C}$) and precipitation (in mm day^{-1}) for composite excess (a, d), composite deficit (b, e) and composite normal (c, f) precipitation years.

In order to understand the variation of seasonal average winter temperature in distinct years, composite differences between excess and normal years, as well as between deficit and normal years are computed and shown in Figure 3. It can be seen from the figure that temperature is lower in the observations and in both RegCM simulation experiments in the excess years as compared to normal years. The left panel in Figure 3 shows that the RegCM model with BATS simulates a warmer surface by 1-2 $^{\circ}\text{C}$ as compared to the CLM in the difference between composite excess and composite normal precipitation years. On the

other hand, it is found that the area with cooler temperature is located more over the Western Himalaya in the CLM than in the BATS. It can also be noticed that the magnitude and distribution of temperature differences between deficit and normal years with the CLM scheme is better than with the BATS when compared with the observed patterns (Fig. 3, right panel). The analysis reveals an improvement of 10-20% in the predictions of seasonal mean winter temperature with the use of CLM over BATS. So, the results suggest that the model-simulated mean as well as the variation in temperature (in terms of

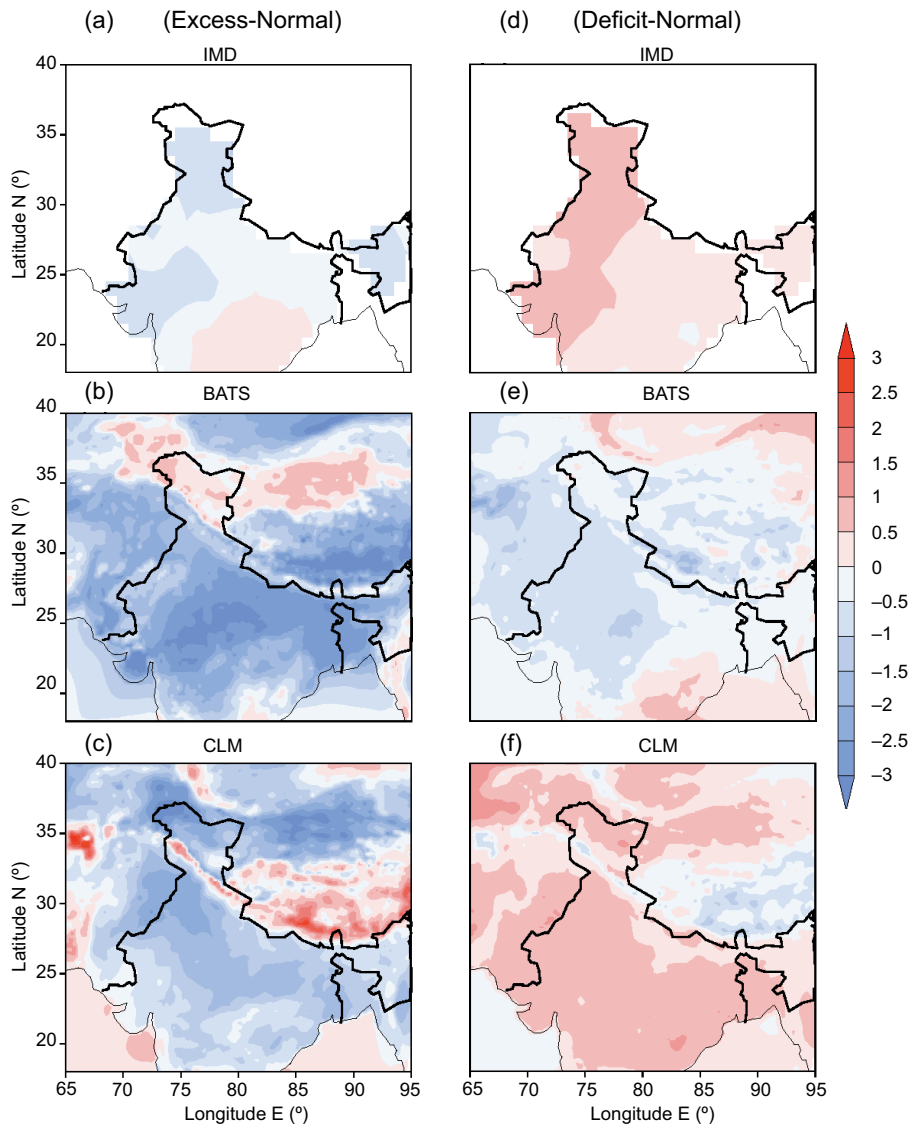


Fig. 3. Seasonal (DJF) difference of average surface air temperature (composite excess – composite normal and composite deficit – composite normal precipitation year) obtained from observed (a, d) and RegCM4 model simulation with BATS (b, e) and CLM (c, f).

spatial distribution and magnitude) during the nine distinct years are better represented with the use of the CLM as compared to the BATS.

4.2 Spatial distribution of precipitation

The response of the BATS and CLM schemes in the RegCM model is examined in terms of precipitation simulations in the nine distinct years described earlier. Results indicate that the model is able to represent the seasonal mean precipitation distribution for the composites of excess, deficit and normal years

reasonably well with both land surface schemes (figure not shown). However, in terms of distribution and intensity the model-simulated precipitation is closer to observations with the use of the CLM scheme. To understand the RegCM model efficiency in simulating precipitation during the nine distinct years, the seasonal mean (DJF) composite precipitation differences between excess and normal years, as well as between deficit and normal years, are computed. Precipitation differences are shown in Figure 4. In the precipitation difference between excess and composite normal years

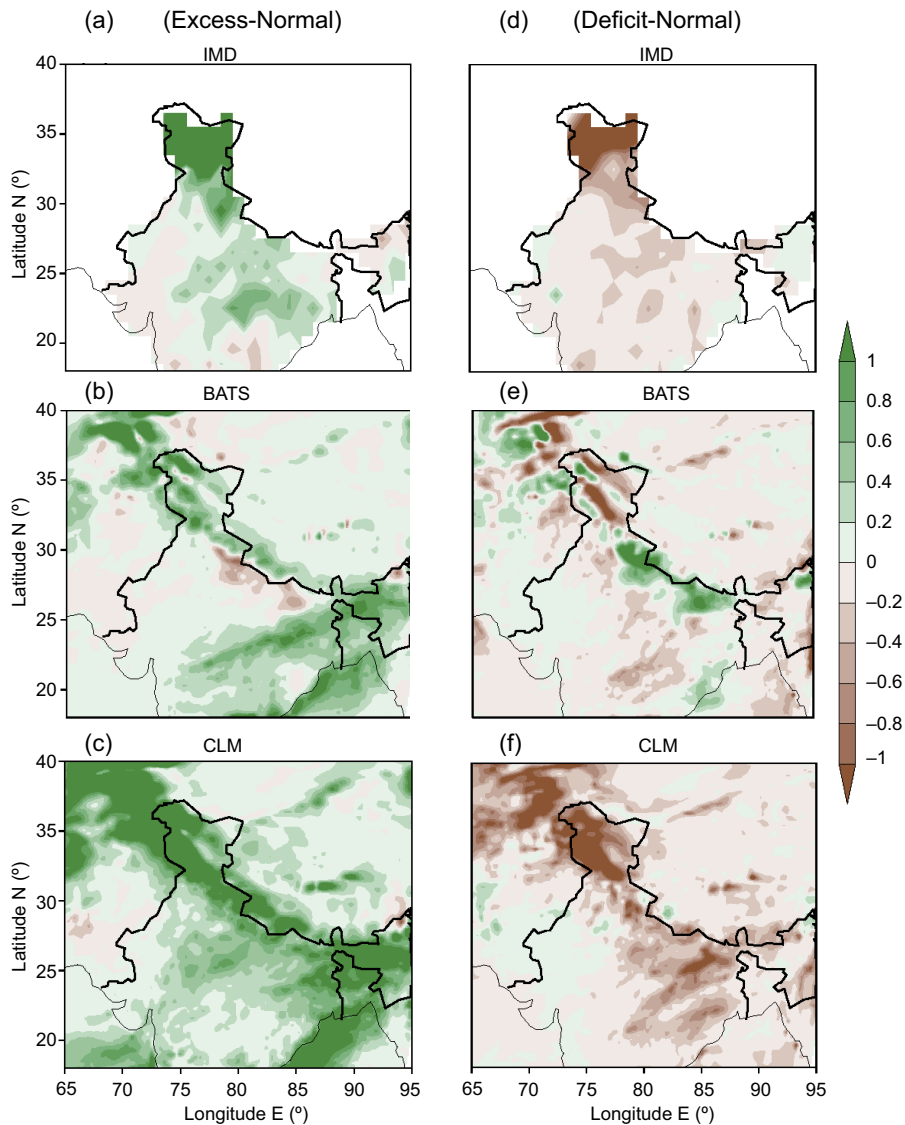


Fig. 4. Seasonal (DJF) difference of average precipitation (composite excess – composite normal and composite deficit – composite normal precipitation year) obtained from observed (a, d) and RegCM4 model simulation with BATS (b, e) and CLM (c, f).

(figure 4 left panel), it is seen that the representation of precipitation in terms of intensity and distribution is better with the CLM than that with BATS scheme when compared with the observed differences. The precipitation differences between deficit and normal years (Fig. 4, right panel) are captured well in both LSPS (CLM and BATS) over northwest India, however, the variation in precipitation is closer to the observations with the CLM scheme than with BATS. The qualitative description of seasonal precipitation suggests that the efficiency of the RegCM model is higher with the CLM scheme than with BATS.

The area average of monthly as well as seasonal composite precipitation obtained from the IMD observations and the RegCM (with BATS and CLM) simulations were computed and are exhibited in Figure 5, which shows that the area-averaged precipitation is underestimated in both LSPS during all of the years (composite of excess, composite of deficit and composite of normal years, respectively) at monthly as well as seasonal scale. However, the RegCM simulations with CLM are closer to observations. An improvement in the precipitation magnitude by about 15-25% is noticed with the CLM scheme

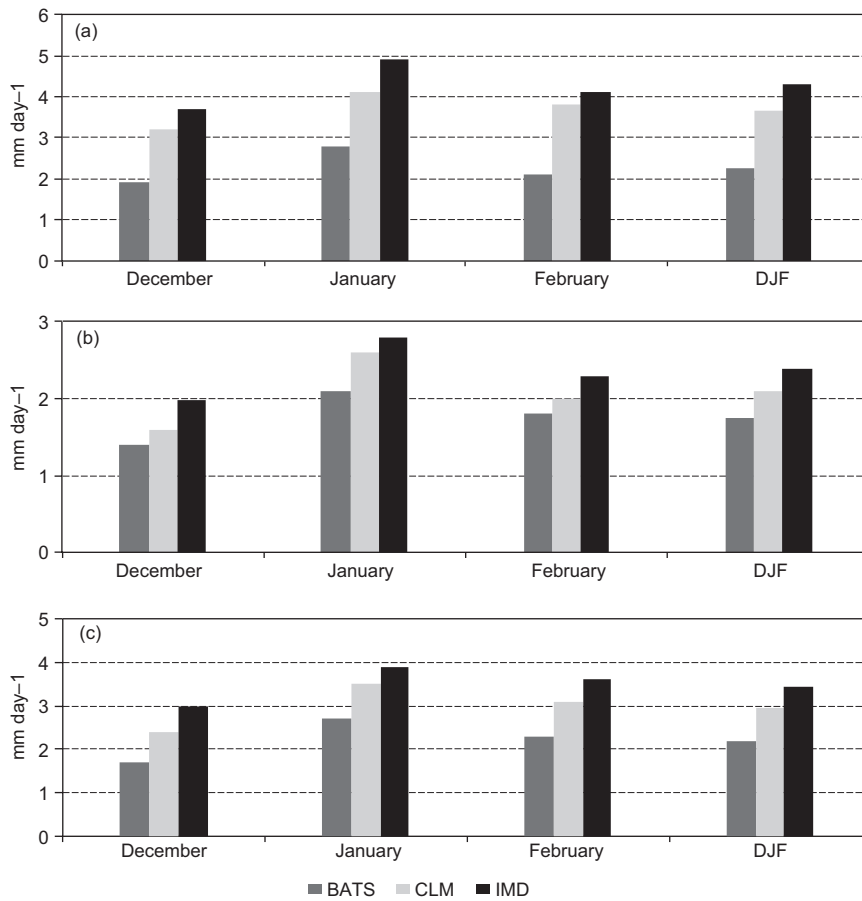


Fig. 5. Monthly and seasonal average precipitation (mm day^{-1}) from IMD gridded precipitation, and RegCM4 model simulation with BATS and CLM, for (a) composite excess, (b) composite deficit and (c) composite normal precipitation year.

over BATS in the seasonal mean simulations. It may be noticed that the improvement varies from year to year. During all the months and seasons, the efficiency of the RegCM model is higher when run with the CLM than with the BATS, though the rate of improvement is higher in January than in other months. The better simulation of precipitation with the CLM as compared to the BATS may be due to the inclusion of more number of soil layers and a better representation of the vegetation cover in the former, as described below.

The vegetation cover over the region of interest as used by both LSPS (BATS and CLM) is shown in Figure 6. It can be seen from the diagram that vegetation cover in the RegCM-CLM simulations has a greater spatial coverage over the Indian part of the Western Himalaya than the RegCM-BATS. This increased vegetation cover in the RegCM-CLM enhances precipitation as found in Zheng *et al.* (2002).

Soil moisture from the NCEP-DOE reanalysis II and the RegCM simulations (with BATS/CLM LSPS) are shown in Figure 7 for the composites of excess and normal years, and deficit and normal precipitation years. Observations show positive soil moisture over northern India, which is well brought out by both LSPS. However, the spatial extent is lower in the RegCM-BATS simulation for the composite of excess minus normal years. In the case of the composite difference between deficit and normal precipitation years, the spatial extent and intensity is closer to observations with the RegCM-CLM as compared to the RegCM-BATS simulation. This difference in model simulation is due to the difference in soil descriptions and moisture representations between these two LSPS. Therefore, the better representation of soil moisture may be the reason for a better representation of precipitation in the RegCM-CLM simulation.

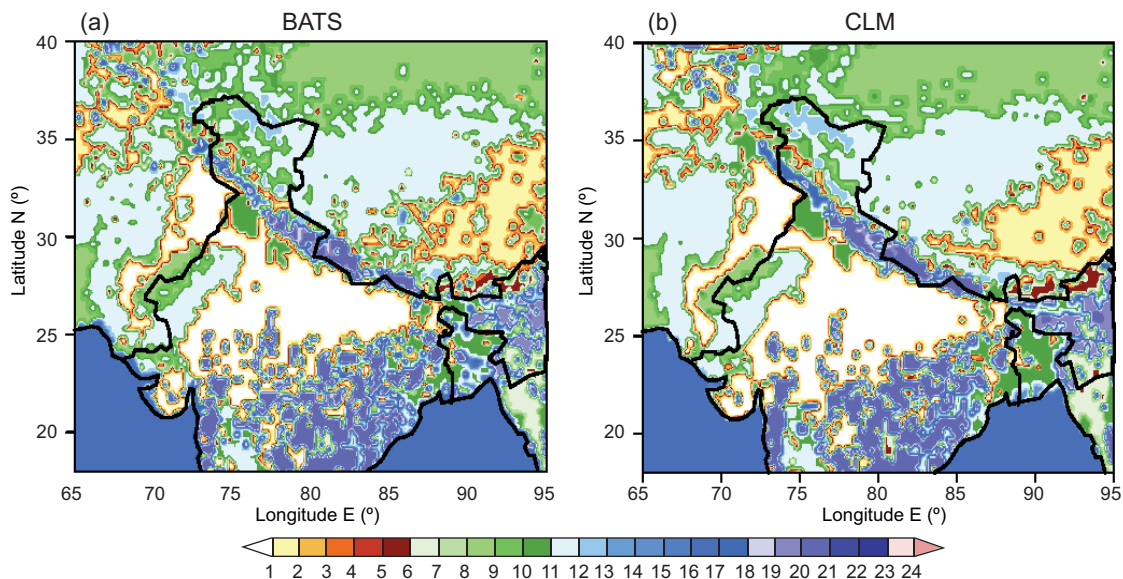


Fig. 6. Vegetation cover in (a) BATS and (b) CLM land surface schemes.

Sensible heat fluxes from the NCEP-DOE re-analysis II and the RegCM simulations (with BATS/CLM LSPS) are depicted in Figure 8 for composites of excess minus normal and deficit minus normal precipitation years. The composite analysis between excess minus normal precipitation years indicates that both LSPS show almost similar spatial extents of precipitation over the eastern parts of Jammu and Kashmir. However, over the western part of Jammu and Kashmir, the RegCM-CLM simulation produces more wet zones as compared to the RegCM-BATS simulation. In the case of composite differences between deficit and normal precipitation year, simulations with both land surface schemes are mostly similar.

4.3 Statistical evaluation of precipitation

The performance of the RegCM model with the BATS and CLM land surface schemes has been evaluated by computing various statistical skill scores. Some important evaluation strategies consisted in estimating the RMSE and the CC, between others. The model skill scores were estimated against observed gridded precipitation data from the IMD over the Indian part of the Western Himalaya. The model results are bi-linearly interpolated to the grid points of the IMD observed data for statistical evaluation. The RMSE and spatial CC are calculated for both sets of runs using CLM and BATS (Table III). It can

be seen that the CC is statistically significant (the threshold value is 0.27 at a 90% confidence level) in the precipitation simulation with the CLM scheme during excess, deficit and normal precipitation years. The CC is higher in the CLM experiment (0.39, 0.35 and 0.37, respectively) than in the BATS experiment for all the years in which simulations were carried out within this study. The RMSE values of the RegCM model are lower when the CLM scheme is used in comparison with BATS. This suggests that the spatial distribution of precipitation and its intensity are simulated better in the RegCM with the CLM scheme than with BATS.

Several other skill metrics, such as POD, accuracy, ETS, and bias have been estimated for the distinct precipitation years and presented in Table IV. When the observed precipitation is higher than or equal to 1 mm day^{-1} , that day is considered as a wet day. It can be seen from the statistical analysis that POD values are higher in the CLM experiment (0.75, 0.70 and 0.88 for the excess, deficit and normal years, respectively) than in the BATS experiment for all the three distinct years. It is also found that the number of wet days simulated in the CLM experiment is closer to the observation. Furthermore, the accuracy of precipitation simulation is higher with the CLM than with the BATS over the Western Himalaya. The computed model bias indicates that the precipitation intensity and

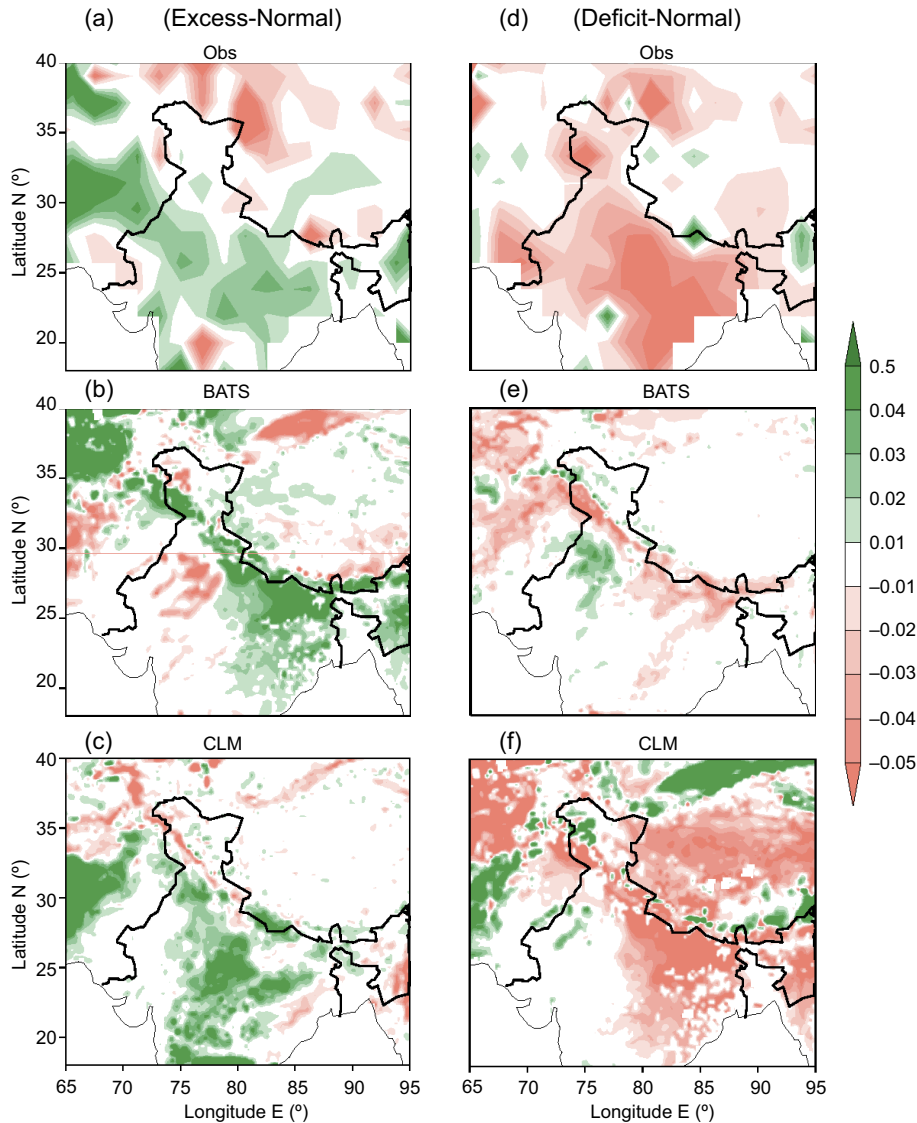


Fig. 7. Seasonal (DJF) soil moisture (kg/kg) difference (composite excess – composite normal and composite deficit – composite normal precipitation year) obtained from observed (a, d) and RegCM4 model simulation with BATS (b, e) and CLM (c, f).

distribution is better represented with the CLM (bias is closer to 1). However, the model-simulated precipitation is underestimated with respect to observations with both schemes. Table IV indicates that the ETS is higher in the CLM simulations during all the years, which indicates that precipitation events are better represented with the CLM land surface scheme.

Thus, the statistical analysis (forecast errors and skill scores) also reveals that the RegCM model with the CLM parameterization scheme performs better in simulating precipitation for extreme years with

reasonable accuracy over the Western Himalayan region, as compared to the RegCM with BATS.

5. Conclusion

In the present study we compared two different land surface parameterization schemes within the RegCM, i.e. BATS and CLM, to simulate nine distinct winter precipitation years over the Western Himalaya. During the winter months, a notable difference between the BATS and CLM experiments is observed in the simulation of temperature and amount of precipitation. The performance of the RegCM with

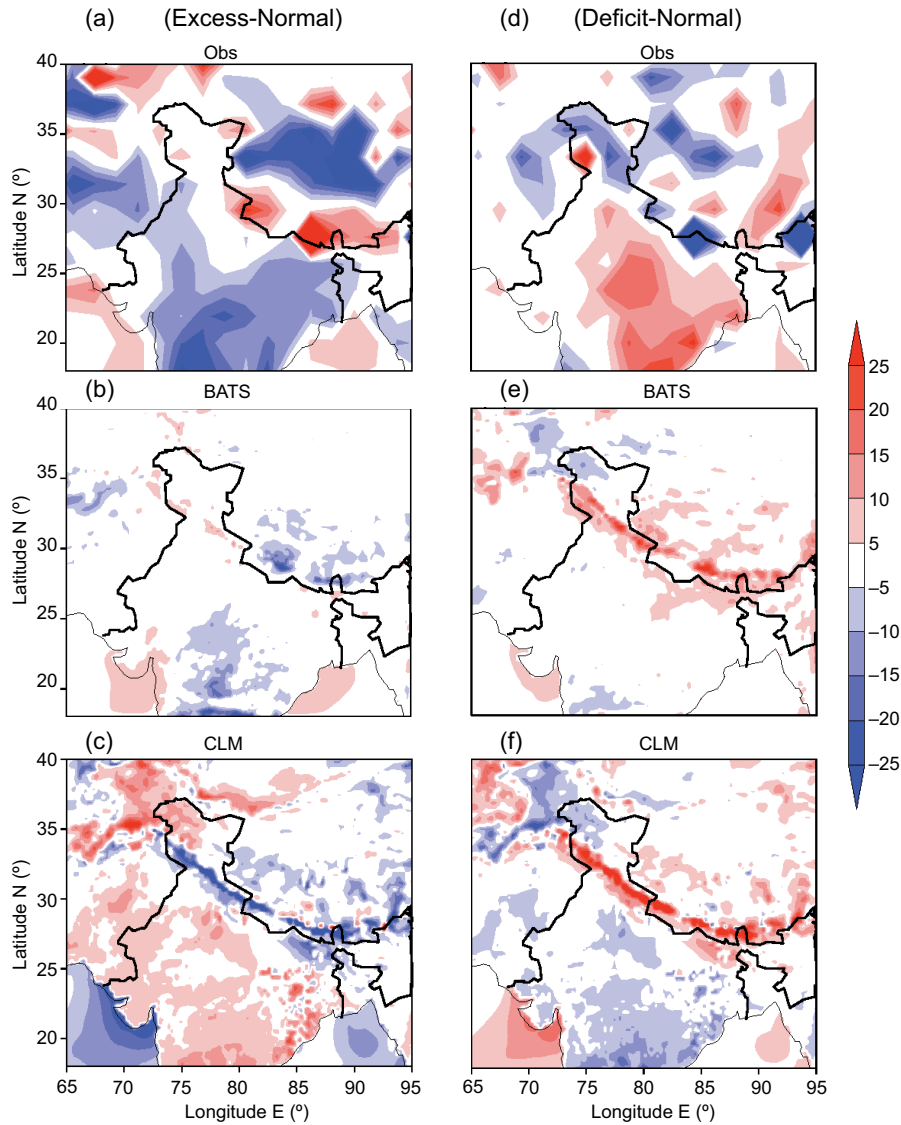


Fig. 8. Seasonal (DJF) sensible heat flux ($w m^{-1}$) difference (composite excess – composite normal and composite deficit – composite normal precipitation year) obtained from observed (a, d) and RegCM4 model simulation with BATS (b, e) and CLM (c, f).

Table III. RMSE and CC for excess, deficit and normal precipitation years.

		Excess	Deficit	Normal
RMSE	BATS	3.448	1.587	2.778
	CLM	3.312	1.385	2.529
CC	BATS	0.359	0.313	0.351
	CLM	0.385	0.352	0.374

RMSE: root mean square error; CC: correlation coefficient.

both LSPS is reasonable in reproducing the mean features of seasonal temperature and precipitation,

however the skill of the model is higher with the CLM scheme. Furthermore, the temperature and precipitation during extreme winter seasons are also better captured with the CLM scheme than with BATS when compared with observations. As mentioned earlier, most of the sharp gradient in the orography of the Himalayas gets smoothed due to the resolution chosen for the model. Similarly, the surface characteristics (soil type, soil wetness, vegetation cover, etc.) are not properly represented in the model due to the chosen resolution, as there is sharp gradient in these parameters over the Himalayan

Table IV. Skill score for excess, deficit and normal precipitation years for the > 1 mm rainfall category.

Year	Land surface scheme	POD 1 (0 to 1)	Accuracy 1 (0 to 1)	Bias 1 (0 to ∞)	ETS 1 (-1/3 to 1)
Excess	BATS	0.715	0.589	1.502	0.113
	CLM	0.747	0.596	1.642	0.182
Deficit	BATS	0.693	0.633	1.952	0.071
	CLM	0.697	0.711	1.381	0.167
Normal	BATS	0.852	0.656	1.458	0.179
	CLM	0.876	0.683	1.229	0.187

region. This study suggests that even at this resolution, the RegCM model with CLM and BATS is able to reproduce some of the salient features of the distinct years examined.

Forecast errors and skill scores indicate that the performance of the RegCM model is better with the CLM scheme rather than with BATS. Moreover, improvements by about 10-20% in temperature and 15-25% in precipitation predictions are observed with the use of the CLM scheme in comparison with BATS. In sum, the study indicates that the RegCM model with the CLM scheme can be more informative in simulating wintertime temperature and precipitation over the Western Himalayan region.

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